

# Maintenance of T1 response as induced during PEG-IFN $\alpha$ plus ribavirin therapy controls viral replication in genotype-1 patients with chronic hepatitis C

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## ABSTRACT

**Objectives:** to analyze the T1/T2 cytokine profile in CD8 T cells from peripheral blood mononuclear cells from patients with genotype-1 CHC during treatment with pegylated interferon (PEG-IFN)  $\alpha$ 2a plus ribavirin (RBV). To correlate Th1/Th2 balance with virological response.

**Patients and methods:** in this prospective longitudinal study, a total of 28 naive genotype-1 CHC patients received Peg-IFN $\alpha$ 2a (180  $\mu$ g/week) plus RBV (1-1.2 g/day) for 48 weeks. All patients (mean age 45  $\pm$  8 years) completed treatment and follow-up: 12 (43%) achieved a sustained virological response (SVR), 13 relapsed after end of treatment (47%), and only 3 (10%) were non-responders. Sixteen healthy controls were also analyzed (mean age 39  $\pm$  17 years). The production of IL-4, IFN $\gamma$ , and TNF $\alpha$  by CD8 T cells was measured by intracytoplasmic detection using flow cytometry in both resting and stimulated cells with a phorbol ester. Statistics: Student's t test for independent values,  $\chi^2$  test, and ANOVA test were used; relapsers and non-responders were joined to achieve a higher statistical power.

**Results:** at third month during treatment, phorbol ester-stimulated-IL-4 levels tend to be lower in patients who presented with SVR versus those who did not (0.97 vs 2.58;  $p = 0.1$ ). No statistically significant differences were found in IFN $\gamma$  and TNF $\alpha$  levels at month 3. At EOT, the stimulated-IFN $\gamma$  production was significantly higher in patients with SVR (20 vs. 8;  $p < 0.05$ ). Conversely, IL-4 production was higher in NR patients although these data did not reach statistical significance ( $p < 0.1$ ). No significant differences were found in TNF $\alpha$  (14 vs. 7;  $p < 0.2$ ).

**Conclusions:** Cytokine T1 induced-response maintenance during combination treatment, measured as IFN $\gamma$  production by CD8+ T lymphocytes, is associated with SVR and suggests the replication control and later clearance of patients infected by genotype-1 HCV.

**Key words:** Hepatitis C virus. Chronic hepatitis. Pegylated interferon. Ribavirin. Cytokines. Lymphocytes.

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## INTRODUCTION

Hepatitis C virus (HCV) infection is characterized by a persistence of viral replication, a chronic pattern of disease, and in some patients a progression toward cirrhosis and hepatocellular carcinoma. Mechanisms of cellular damage and viral persistence are not well established, although viral factors and immune response implications are well known (1).

Some virological factors such as viremia, genotype and quasispecies may play an aggressive role. Nevertheless, host immune response is the most important key in the pathogenesis, principally in viral replication control and hepatocellular damage. HCV-specific CD4+ and CD8+ T cells have been demonstrated in the peripheral blood and liver tissue of patients with chronic hepatitis C (CHC). Cytokine production by these T cells may play an important role in the pathogenesis of CHC, and also has a great impact on viral replication control (1,2).

CD4+ T cell Th1 and Th2 subsets exert distinct actions by secreting different kinds of cytokines. Th1 cells produce IL-2, IFN $\gamma$  and TNF $\alpha$  favoring a cellular-mediated response, while Th2 cells secrete IL-4, IL-5 and IL-10, thus stimulating humoral immunity. It has been demonstrated that a polarization of the immune response towards one or these types may control or maintain infectious disease. Besides, CD8+ T cells, which have been less studied, have T1 and T2 functions, secreting IFN $\gamma$  and IL-4 respectively (3,4). CHC patients have a Th1 cy-

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tokine profile in liver tissue (5-7) as well as in peripheral blood according to some authors (8,9); nevertheless, other studies have shown a predominant Th2 profile or even no differences at all (10,11).

The modulation of peripheral blood cytokine and intrahepatic cytokine profiles by a combination treatment with PEG-IFN $\alpha$  plus ribavirin is not well known. Few studies exist, and only patients treated with interferon alone or in combination with ribavirin have been evaluated; however, no data regarding PEG-IFN $\alpha$  are presently available. Some studies detected a Th2-cytokine decrease (IL-4, IL-10) or a Th1-cytokine increase (IL-2) during treatment with IFN (12,13). Regarding the role of cytokine profile as a predictive factor for response, studies are few and results are inconclusive (14-16).

The function of CD8+ T cells has been scarcely evaluated. Some studies exist related to T cell activation molecules in liver tissue (8-11), but none of them analyzes levels and possible effector functions in peripheral blood mononuclear cells (PBMC).

The aim of this study was to analyze the profile of T1/T2 cytokines produced by peripheral blood CD8+ T cells in CHC patients infected by genotype 1 during treatment with PEG-IFN $\alpha$ 2a plus RBV *versus* that of healthy controls. A second endpoint was to correlate T1/T2 cytokine ratio as produced by CD8+ T cells with virological response to treatment.

## PATIENTS AND METHODS

In this prospective, longitudinal study 28 *naïve* CHC patients with genotype 1 were consecutively included. All of them had elevated serum alanine aminotransferase (ALT) levels, at least twice the upper normal limit, and serum positivity for anti-hepatitis C virus (anti-HCV) by a second-generation immunoenzyme assay (ELISA). HCV RNA was detected by polymerase chain reaction (PCR). Other chronic liver diseases (hereditary, metabolic, toxic or other viruses) were excluded, as were patients with human immunodeficiency virus (HIV) coinfection. A total of 16 healthy controls (M/F = 9/7; 39  $\pm$  17 years) with normal liver function were studied. Physicians treating patients were blinded with respect to the cytokine profile assay. No liver biopsy was performed because of patient refusal. An informed consent was obtained for all participants.

Parameters analyzed included: age, gender, platelets, serum alanine –ALT– and aspartate –AST– aminotransferases, alkaline phosphatase (ALP),  $\gamma$  glutamyl-transpeptidase (GGT) and GGT/ALT ratio. Qualitative and quantitative viremias were tested by using HCV-Amplicor<sup>®</sup> and HCV-Monitor<sup>®</sup> (Roche Diagnostic System, Basel, Switzerland), with a sensitivity threshold of 10<sup>2</sup> (Amplicor<sup>®</sup>) and 10<sup>3</sup> (Monitor<sup>®</sup>) copies/genome equivalent per mL. HCV genotyping was performed by a reverse-hybridization line probe assay (INNO-LIPA HCV; Innogenetics, Zwijndrecht, Belgium).

Periodic determinations of all values at 1, 3, 6, 9 and 12 months during treatment and at 3 and 6 months during follow-up were performed.

## CD8+ T cell-secreted cytokine assays

Blood samples were collected in heparin tubes. For T cell stimulation, 25 ng/mL of phorbol 12-myristate 13 acetate (PMA) plus 1  $\mu$ g/mL of ionomycin (calcium ionophore) was added immediately during 4 hours at 37 °C and 7% CO<sub>2</sub>, with the subsequent addition of brefeldin-A (Sigma Chemical Co. (St. Louis, Missouri, USA)). The production of IL-4, IFN $\gamma$  and TNF $\alpha$  by CD8+ T cells from PBMC was measured by intracytoplasmic detection using flow cytometry (FACScan fluorescent cell sorter, Becton Dickinson and Company). These values were obtained in T cells both at rest and under stimulation with PMA. First, a surface staining of PBMC was performed with monoclonal antibodies: antiCD4 conjugated with fluorescein isothiocyanate (FITC) and antiCD8 conjugated with phycoerythrin (PE). T lymphocytes with anti-CD3 PE and anti-CD69 FITC were used as stimulation controls. T cell permeabilization was then performed using the lysing solution kit according to the manufacturer's instructions.

For T cell intracellular staining, monoclonal antibodies were also utilized: anti-IFN $\gamma$  conjugated with FITC, anti-IL-4 conjugated with PE, and anti-TNF $\alpha$  conjugated with FITC. All of them were commercialized by Becton Dickinson (San José, California, USA) (14).

All cytokines were measured in healthy controls and in CHC patients; in the latter before treatment (month 0), during treatment (months 1, 3, 6, 9 and 12) and during follow-up (months 15 and 18). Cytokine levels are expressed as percentage of CD8+ T cells from peripheral blood that express each cytokine.

## Treatment

All patients received combination treatment with PEG-IFN $\alpha$ -2a (PEGASYS<sup>®</sup>, Roche), 180  $\mu$ g weekly subcutaneously, plus ribavirin (COPEGUS<sup>®</sup>, Roche) orally (1-1.2 g/day), adjusted to body weight (above or below 75 kg) for 48 weeks. This treatment was approved by the ethical committee in our hospital. An informed consent was obtained from all patients.

At third month of treatment early virological response (EVR) was evaluated. The end-of-treatment (EOT) response was defined as HCV RNA clearance and normalization of alanine aminotransferases serum levels on completion of combined treatment at week 48. A sustained virological response (SVR) was defined when this response persisted during 6 months of follow-up (week 72). Non-responders were considered as patients without an SVR, including relapsers (patients with EOT response but

without SVR) and patients who achieved no EOT response. All data were analyzed by comparing both groups.

### Statistical analysis

Quantitative values are expressed as mean  $\pm$  standard deviation (SD). Qualitative values as percentage. All possible differences between both groups were analyzed using the Mann-Whitney test, Fisher's test or the  $\chi^2$  test. A value of  $p < 0.05$  was considered statistically significant. Relapsers and non-responders (NR) were pooled together in order to improve the statistical power of the study.

## RESULTS

Healthy controls (mean age  $39 \pm 17$  years) were similar to CHC patients regarding age and gender distribution. Platelet levels were higher in healthy controls ( $256,000 \pm 62,000$  vs.  $182,000 \pm 42,000$ ;  $p < 0.05$ ) and ALT, AST, GGT and ALP levels were normal. No statistically significant differences in resting and stimulated IL-4, IFN $\gamma$  and TNF $\alpha$  production by CD8+ T cells were found (Table I).

All 28 patients (mean age  $45 \pm 8$  years) finalized the 48-week treatment and 6-month follow-up periods. At the end of this period (72 weeks), 12 of 28 patients achieved an SVR (43%), 13 relapsed (47%), and 3 (10%) were NR. Relapsers and NR were analyzed together in order to improve the statistical power of the study.

### Comparison between pre-treatment status and post-follow-up status of patients

Baseline (pre-treatment) and end-of-treatment characteristics of CHC patients are summarized in table II. As shown in table III, the baseline characteristics of patients

achieving SVR are homogeneous and similar to those of non responders (including relapsers), and thus comparable. There were no withdrawals, and it must be emphasized that all CHC patients were *naïve* and infected by genotype-1 HCV.

### Cytokine profile during antiviral treatment

No differences in resting and stimulated IL-4, IFN $\gamma$  and TNF $\alpha$  levels as produced by peripheral blood CD8+ T cells were found between healthy controls and CHC patients (Table I). Nevertheless, the mean value of stimulated IL-4 tended to be lower in healthy controls than in CHC patients ( $1.3 \pm 1.2$  vs.  $2.7 \pm 3.7$ ;  $p < 0.1$ ), and the mean of stimulated IFN $\gamma$  tended to be higher in healthy controls than in CHC patients ( $28 \pm 18$  vs.  $23 \pm 18$ ;  $p < 0.3$ ).

During combination treatment with PEG-IFN $\alpha$ 2a plus ribavirin the intracytoplasmic production of IL-4, IFN $\gamma$  and TNF $\alpha$  decreased irregularly in all patients. At one month no statistically significant differences between responders and non responders were found, although stimulated IL-4 levels tended to be lower in patients with SVR than in NR ( $0.8 \pm 0.8$  vs.  $1.9 \pm 2$ ;  $p < 0.1$ ). At month 3 of treatment, stimulated IL-4 levels were lower in NR patients, but without statistical significance ( $0.97 \pm 1$  vs.  $2.58 \pm 3$ ;  $p = 0.1$ ). No differences in IFN $\gamma$  or TNF $\alpha$  levels between patients with SVR or NR were found. At six months of treatment there were no differences regarding cytokine profiles, either at rest or stimulated by PMA, between SVR and NR patients.

At EOT (week 48) the production of stimulated IFN $\gamma$  was higher in patients who had achieved an SVR ( $20 \pm 14$  vs.  $8 \pm 8$ ;  $p < 0.05$ ). In contrast, IL-4 production was higher in non-responders, although these data did not reach statistical significance ( $p < 0.1$ ). No differences in

**Table I. Baseline characteristics of healthy controls and chronic hepatitis C (CHC) patients before treatment**

	ALT	AST	GGT	ALP	GGT/ALT	Platelets*	IL-4	IFN $\gamma$	TNF $\alpha$	Viremia**
Control	$23 \pm 7$	$23 \pm 4$	$25 \pm 8$	$59 \pm 26$	$1.2 \pm 0.6$	$256 \pm 62$	$1.3 \pm 1.2$	$28 \pm 18$	$28 \pm 27$	0
CHC	$91 \pm 52$	$60 \pm 21$	$53 \pm 37$	$68 \pm 25$	$0.7 \pm 0.9$	$182 \pm 42$	$2.7 \pm 3.7$	$23 \pm 18$	$22 \pm 16$	$925 \pm 153$
p	0.05	0.05	0.05	NS	NS	0.05	0.1	0.3	NS	0.001

Mean  $\pm$  standard deviation. \*Platelets: x 1000. \*\*Viremia: x 1000 IU/mL. P: probability. NS: not significant.

**Table II. Biochemical values and blood stimulated cytokines (mean  $\pm$  SD) at baseline time (pretreatment) and after end of treatment in 28 CHC patients**

	ALT	AST	GGT	ALP	GGT/ALT	Platelets*	IL-4	IFN $\gamma$	TNF $\alpha$	Viremia**
Baseline	$91 \pm 52$	$60 \pm 21$	$53 \pm 37$	$68 \pm 25$	$0.7 \pm 0.4$	$182 \pm 42$	$2.5 \pm 3.6$	$23 \pm 17$	$22 \pm 16$	$925 \pm 153$
EOT	$39 \pm 56$	$34 \pm 30$	$44 \pm 62$	$68 \pm 15$	$1.1 \pm 0.6$	$144 \pm 52$	$1.3 \pm 1.7$	$15 \pm 13$	$11 \pm 10$	$5.6 \pm 3.6$
p <	0.05	0.05	NS	NS	0.02	0.05	0.05	0.05	0.05	0.05

SD: standard deviation. EOT: end of treatment. \*Platelets: x 1000. \*\*Viremia: x 1000 IU/mL.

**Table III. Baseline (pre-treatment) characteristics of CHC patients and biochemical values related to treatment response in 28 patients**

	SVR	NR	p
N (%)	13/28 (43%)	16/28 (57%)	NS
Age (years)	44 ± 8	45 ± 9	NS
Gender (M/F)	10/6	6/6	NS
ALT (IU/L)	66 ± 34	74 ± 32	NS
AST (IU/L)	52 ± 16	57 ± 17	NS
GGT (IU/L)	46 ± 25	59 ± 38	NS
ALP (IU/L)	64 ± 28	75 ± 34	NS
GGT/ALT	1.1 ± 1.8	0.8 ± 0.4	NS
Viremia (x 10 <sup>3</sup> IU/μL)	383 ± 375	435 ± 493	NS
Platelets (x 10 <sup>3</sup> /μL)	203 ± 48	183 ± 47	NS

N: number of patients. SVR: sustained virological response. NR: non-responders and relapsers. SD: standard deviation. M/F: male/female. Data are mean ± SD

**Table IV. Levels\* of cytokines stimulated by PMA from 28 patients with SVR and NR to treatment with PEG-IFNα2a plus ribavirin**

	SVR	NR	p
<i>Pretreatment (month 0)</i>			
IL-4	1.7 ± 2	2.4 ± 2.5	NS
IFNγ	19 ± 15	21 ± 21	NS
TNFα	13 ± 10	16 ± 19	NS
<i>Month 1</i>			
IL-4	0.8 ± 0.8	1.9 ± 2	< 0.1
IFNγ	13 ± 14	12 ± 13	NS
TNFα	13 ± 14	11 ± 15	NS
<i>Month 3</i>			
IL-4	0.97 ± 1	2.6 ± 3	< 0.1
IFNγ	34 ± 19	18 ± 19	NS
TNFα	27 ± 14	29 ± 21	NS
<i>Month 6</i>			
IL-4	1.5 ± 2	2.7 ± 3	< 0.3
IFNγ	13.6 ± 10	20 ± 8	< 0.1
TNFα	11 ± 9	19 ± 9	< 0.07
<i>Month 12 (end of treatment)</i>			
IL-4	0.7 ± 0.8	1.4 ± 1.6	NS
IFNγ	20 ± 14	8 ± 9	< 0.05
TNFα	14 ± 13	7 ± 10	< 0.3
<i>Month 18 (end of follow-up)</i>			
IL-4	0.04 ± 0.05	0.15 ± 0.06	< 0.05
IFNγ	15 ± 13	2.3 ± 4	< 0.07
TNFα	8 ± 9	0.5 ± 1	< 0.1

\*Units: percentage of CD8+ T cells expressing the cytokine analyzed (mean ± SD). SD: standard deviation. SVR: sustained virological response. NR: non-responders and relapsers.

TNFα production (14 ± 13 vs. 7 ± 10; p < 0.3) were found. At the end of follow up (6 months after therapy completion) patients with SVR maintained higher IFNγ levels than NR (at the limit of statistical significance), and IL-4 levels were lower in SVR patients than in NR (0.04 ± 0.05 vs. 0.15 ± 0.06; p < 0.05). Regarding TNFα levels, no differences were found.

## DISCUSSION

Immune response against HCV is complex using both cellular and humoral pathways to eradicate the virus. Interaction between different cellular subsets within the immune system –B cells, T cells and other accessory cells– inhibit viral replication; at the same time, this response may be responsible for histological damage (17). A disbalance between T cell subsets and natural killer (NK) cells has been demonstrated in peripheral blood samples from patients with chronic hepatitis C (18-21). The activation of specific T cells and B cells is fundamental for HCV elimination, but not effective in many cases. Besides, this disbalance may result in severe damage in host cells and extend HCV persistence (16). Studies have been carried out to elucidate whether a proliferative response to a mytogen from PBMC is related to HCV eradication (17).

Other authors have studied the implication of immune cells and different cytokines in the response to antiviral treatment, mainly focusing on CD4+ T cells (15-17). CD8+ T cells show a cytotoxic function on recognizing viral antigens bound to class I-HLA molecules. It is well known that these cells play a determinant role in the control of viral infection (19), and intrahepatic cytotoxic activity is attributed to activated intrahepatic CD8+ T cells. Besides, they can synthesize and secrete different cytokines such as IFNγ, IL-4 and TNFα, which modulate other lymphocyte subsets including CD4+ and CD8+ phenotypes (22-24). There were no conclusive studies analyzing the production of these cytokines by CD8+ T cells in peripheral blood samples during treatment with PEG-IFNα plus ribavirin (16). Both PEG-IFNα and ribavirin in combination have antiviral and immunomodulatory effects, favoring a vigorous immune response that may eliminate HCV infection.

In the present study we evaluated the T1/T2 cytokine peripheral blood profile as secreted by CD8+ T cells during combination treatment with PEG-IFNα plus ribavirin in naive CHC patients with genotype 1. In addition, we correlated these parameters with patterns of response to antiviral treatment, trying to establish possible predictive factors of response. Our study findings suggest that patients with SVR have a CD8+ T cell immune response polarization towards type-1 cytokines (with functional similarities to the Th1 response of CD4+ T cells), with higher levels of IFNγ at end of treatment and during follow up. On the contrary, patients who did not achieve an SVR had a predominant type-2 immune response (similar to the Th2 type of CD4+ T cells) characterized by higher peripheral blood levels of IL-4 at end of treatment, with a subsequent decrease during follow up.

Combination treatment with PEG-IFNα plus ribavirin has a well known immunomodulatory and antiviral action, although its definite mechanisms are not well established (25). Findings from this study suggest that the response to treatment with PEG-IFNα plus ribavirin is

partially determined by the proliferation and subsequent activation of the CD8+ lymphocyte subset, with the resulting synthesis of cytokines –T1 predominance would be associated with SVR, whereas a T2 response would not be effective to eliminate HCV and would perpetuate chronic infection.

In summary, the maintenance of cytokine T1-induced response during combination treatment, measured as IFN $\gamma$  production by CD8+ T lymphocytes, is associated with SVR and suggests replication control and later clearance of patients infected by genotype-1 HCV. Due to the small number of patients and the characteristics of this disease, further studies with a higher number of patients would be necessary to corroborate these results. In addition, the study of other lymphocyte subsets would allow a delimitation of interactions between the various functions of T cell subsets in the overall immune response of patients with chronic hepatitis C.

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