

Rodríguez-Sanz M<sup>1</sup>, Prieto-Alhambra D<sup>1,3,4,5</sup>, Servitja S<sup>6</sup>, García-Giralt N<sup>1</sup>, Garrigos L<sup>6</sup>, Albanell J<sup>6</sup>, Martínez-García M<sup>6</sup>, González I<sup>6</sup>, Martos T<sup>6</sup>, Díez-Pérez A<sup>1,2</sup>, Tusquets I<sup>6</sup>, Nogués X<sup>1,2</sup>

1 IMIM (Instituto Hospital del Mar de Investigaciones Médicas) - Red Temática de Investigación Cooperativa en Envejecimiento y Fragilidad (RETICEF) - Instituto de Salud Carlos III FEDER - Barcelona (España)

2 Departamento de Medicina Interna - Parque de Salud Mar - Universidad Autónoma de Barcelona - Barcelona (España)

3 IDIAP (Instituto de Investigación en Atención Primaria Jordi Gol) - Universidad Autónoma de Barcelona - Barcelona (España)

4 Departamento de Ortopedia - Reumatología y Ciencias Musculoesqueléticas Nuffield - Unidad de Investigación Biomédica y Musculoesquelético de NIHR - Universidad de Oxford - Oxford (Reino Unido)

5 MRC Unidad de Epidemiología del Ciclo Vital de la Universidad de Southampton - Southampton (Reino Unido)

6 IMIM (Instituto Hospital del Mar de Investigaciones Médicas) - Departamento de Oncología Médica - Parque de Salud Mar - Barcelona (España)

## BMD evolution during treatment with aromatase inhibitors and its relation to the CYP11A1 gene: prospective study in the B-ABLE cohort

Correspondence: María Rodríguez Sanz - C/ Dr. Aiguàder, 88 - 08003 Barcelona (Spain)  
e-mail: mrodriguez5@imim.es

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### Summary

**Objectives:** The aim of this study was to analyze bone mineral density (BMD) changes throughout aromatase inhibitor (AI) treatment in clinical cases and also consider its association with the CYP11A1 gene and the BMD variation after treatment.

**Material and methods:** The B-ABLE cohort is a prospective study of postmenopausal women with breast cancer, in AI treatment. BMD variation was analyzed during AI treatment, as well as the differences those patients who were treated and not treated previously with tamoxifen (TMX). Three polymorphisms (rs4077581, rs11632698 and rs900798) of the CYP11A1 gene were genotyped for their association with BMD variation.

**Results:** TMX-treated patients presented more rapid BMD loss than those who did not undergo prior TMX treatment (60% less in spine and 46% in femur at 2 years and 70% less in the spine and 63% in the femur at 3 years). However, no significant BMD loss was detected after treatment in either group. The 3 CYP11A1 gene polymorphisms were significantly associated with BMD variation in the femur at the end of the treatment.

**Conclusions:** BMD was reduced more rapidly in patients with prior TMX treatment than in those who only received AI, although no significant differences were detected after treatment. The 3 CYP11A1 gene polymorphisms were associated with BMD variation in response to AI treatment.

**Key words:** aromatase inhibitors, bone mineral density, CYP11A1, genetic polymorphisms, tamoxifen.

## Introduction

Aromatase inhibitors (AI) have become the accepted adjuvant therapy for postmenopausal patients with breast cancer with hormonal receptor expression<sup>1</sup>. AI brought about a marked reduction in estrogen levels through inhibition of the aromatase enzyme<sup>2</sup> whose activity is relegated to peripheral tissues during menopause<sup>3</sup>. The American Society for Clinical Oncology (ASCO) recommends using the AI for 5 years, or for 2 or 3 years, after previous therapy with tamoxifen (TMX)<sup>4</sup>, where the latter option is prescribed for pre/perimenopausal women<sup>5</sup>.

However, reduced estrogen levels increase bone resorption and raise the risk of fracture that occurs after menopause<sup>1,6,9</sup>. Clinical guidelines for the management of bone loss associated with AI (AIBL: Aromatase Inhibitor associated Bone Loss) recommends a strict monitoring of bone mineral density (BMD) and other risk factors to assess the need for treatment with anti-resortive therapies<sup>10</sup>.

Despite existing data, most of which based on randomized clinical trials (RCT), there is little information on the effect of AI therapy in routine clinical practice, where patient characteristics and adherence to therapy may differ from what is observed in restrictive RCT conditions.

The study data presented are taken from the B-ABLE cohort, a prospective clinical cohort in postmenopausal women with early stage breast cancer receiving adjuvant AI therapy. A recent study<sup>11</sup> in this cohort reported a large inter-individual variability in the change of BMD during AI treatment: at 2 years of therapy, more than 40% of patients experienced more than 3% BMD loss, while 20% of women did not present significant losses or even gained BMD. Moreover, in this same study, an association was found between CYP11A1 gene polymorphisms and bone loss after 2 years of AI treatment<sup>11</sup>, thus demonstrating that the observed variability among patients presenting AIBL 2 years after treatment could be partially determined by genetics. The study aimed to describe BMD changes over the entire treatment, up to completion, and to assess the possible association between the CYP11A1 gene and AIBL after treatment.

## Material and methods

### Study Population

Details of the study design, methods of recruitment and population study<sup>12</sup> have been previously described and here are set out briefly.

B-ABLE is a prospective, observational clinical cohort study, initiated in January 2006 and currently with open inclusion. The women included presented postmenopausal breast cancer with hormone receptor expression, candidates for AI treatment and attending the Outpatient Breast Cancer Unit of the Hospital del Mar (Barcelona, Spain). Exclusion criteria are any history of bone disease, rheumatoid arthritis, endocrine and metabolic diseases or use of oral corticosteroids or any other drug with bone action, except Tamoxifen.

## Procedures

Participants were treated with AI (Letrozole, Exemestane or Anastrozole) for 5 years, or alternatively after 2 or 3 years of Tamoxifen treatment (3 and 2 years of AI, respectively), according to ASCO recommendations<sup>4</sup> of starting within 6 weeks after surgery or 1 month after the last cycle of chemotherapy.

All participants received calcium and vitamin D (1,000 mg 800 IU daily), and those with vitamin D deficiency at baseline (<30 ng/ml) received an extra dose of 16,000 IU of Cholecalciferol oral every 2 weeks.

## Measurements

### Bone Mineral Density

At baseline and annually until the end of treatment, BMD was measured in lumbar spine (LS; L1-L4), femoral neck (FN) and total hip using the Densitometer X-ray Absorptiometry (DXA) SL® QDR 4500 (Hologic, Waltham, Massachusetts, USA), following our unit's standard protocol. In our department, the coefficient of variation for this technique ranges between LS 1% to 1.65% in FN. The images were scrutinized rigorously, especially in the interpretation of tracking scanners. Those who presented artifacts in the image, causing possible erroneous BMD increase (degenerative disc disease with bone spurs, arthritis with hyperostosis of the facet joints, vertebral fractures and / or aortic calcifications) were excluded from the analysis, in accordance with the description of Blake et al.<sup>13</sup>.

### Other determining

Information of a large number of clinical variables was collected at the time of recruitment, including age, age at menarche and menopause, nursing time, parity, previous chemotherapy and radiotherapy, adjuvant treatments, weight, height, serum levels of 25-hydroxyvitamin D (25 (OH) D), calcium intake and smoking.

## Selection of candidate genes and polymorphisms

To study the association with AIBL at the end of treatment, we selected rs4077581 single-nucleotide polymorphisms (in the promoter region), rs11632698 (in intron 2) and rs900798 (in the 3'UTR) of the CYP11A1 gene, which have been previously associated with AIBL after 2 years of treatment<sup>11</sup>.

## DNA extraction and genotyping polymorphisms

DNA extraction was carried out on peripheral blood in LGC Genomics Units. Polymorphism genotyping was carried out using the Kaspar Genotyping System v4.0, at LGC Genomics. To verify quality of service, polymorphisms were also genotyped in a plate control consisting of a random sample containing 5% of total samples. The results showed 100% concordance.

## Declaration of Ethics

Study protocols were approved by the appropri-

te ethics committee (Ethics Committee for Clinical Research of the Parc de Salut Mar [CEIC-Parc de Salut Mar]). Approved protocols for obtaining DNA from blood samples were explained, patients signed an informed consent before being included in the study.

### Statistical analysis

Hardy-Weinberg equilibrium (HWE) was calculated by the online tool Tufts University Somerville/Medford, Massachusetts, USA [Http://www.tufts.edu/~mcourt01/Documents/Court%20lab%20%20HW%20calculator.xls]. The outcome variable was BMD loss, calculated as the cumulative percentage change in BMD in LS and FN at each follow-up visit until the end of treatment (three or five years of treatment, as they had received tamoxifen previously). The patient group completing AI therapy after 2 years is not taken into account on an isolated basis when assessing BMD development, as the limited number of patients would not allow for statistical inference in this subset. BMD changes from baseline were assessed using Student's t-paired samples.

The association between polymorphisms and AIBL elected at the end of treatment. It was analyzed using multiple linear regression models contemplating heritage dominant, recessive and additive genetic. Analyses were adjusted for age, index body mass, chemotherapy and/or prior radiotherapy, tamoxifen therapy prior, initial BMD and years of treatment with AI. Furthermore it was also studied potential confusion about the levels of 25 (OH) D at the beginning and by the type of AI. For will minimize false findings because of multiple comparisons was used the FDR<sup>14</sup> correction, accepting those predictions with  $q < 0.05$  significant. Statistical analyzes were performed using R for Windows Version 2.13.2 (Packages: SNPassoc, foreign, multtest, boot and ggplot2).

## Results

### Baseline characteristics of patients and study AIBL

A total of 529 women were recruited from March 2006 to February 2013, of which 24.2% had a normal T-score, 57.3% were osteopenic and the remaining 18.5%, osteoporotic. A total of 388 (73.3%) patients did not receive bisphosphonate treatment, and thus were selected for analysis. A 40.5% of these patients (157) had received prior therapy with tamoxifen (TMX Group), while the remaining 60.5% were not treated with any prior hormonal therapy (NO-TMX Group). Clinical baseline features of the participants according to previous treatment with tamoxifen are shown in Table 1. Significant differences were detected between groups in age, BMI (body mass index) and type of AI.

Figure 1 shows the number of patients with available data for LS and FN in each of the follow-up times. Patients with devices in the lumbar scanner and/or scoliosis (n=97) and those with artifacts in the hip scanner and/or bilateral prosthesis (n=14) were excluded for analysis for BMD of LS

and FN, respectively. Of the 388 patients included in the analysis, 18 were reclassified as osteoporosis by decreasing BMD during treatment (7 in the first year, 8 during the second year, 1 during the third year and 2 in the fourth year) which were immediately implemented bisphosphonate therapy. From that point, their data were excluded from analysis.

Table 2 shows the absolute values of baseline BMD and end of treatment (3 or 5 years in the TMX and TMX NO-group, respectively). At baseline the TMX patients showed a higher BMD in CF patients that NO-TMX (+0,021 g/cm<sup>2</sup> [95% CI 0.004 to 0.038];  $p < 0.05$ ). No significant differences were detected in the initial LS BMD. No significant differences were detected in FN or LS BMD between 3 years or values between the values after treatment (3 years, 5 years vs the TMX group TMX NO-group).

Figure 2 shows the cumulative change in BMD from baseline to the end of treatment. The TMX group showed a more accelerated BMD decrease. So, after 2 years of treatment, patients lost TMX 60% in LS ( $p < 0.001$ ) and 46% in FN ( $p < 0.001$ ) than patients NO-TMX. These differences are maximum 3 years, at which time the TMX patients completed treatment, having lost 70% in LS ( $p < 0.05$ ) and 63% in FN ( $p < 0.01$ ) compared to group NO-TMX after 3 years of therapy. However, the TMX group experienced a decrease in BMD of 5.28% in LS and FN 3.66% in the end of treatment (3 years). For its part, the BMD TMX individuals NO-LS were reduced by 3.99% and 3.43% in FN after 5 years AI therapy. No significant differences were detected in BMD loss at the end of treatment.

### AIBL genetic association after treatment

All polymorphisms were genotyped in the Hardy-Weinberg equilibrium. Genotyping efficiency was higher than 97%. Table 3 shows the results of analysis of association of polymorphisms of YP11A1 gene with cumulative BMD loss in FN and LS at the end of treatment. After the FDR correction significant results were obtained for the 3 polymorphisms the CYP11A1 gene with AIBL of CF ( $q < 0.02$ ). No significant results were obtained for LS.

## Discussion

This prospective study provides information about the variation in BMD during AI treatment in patients with breast cancer in general clinical practice. The results show that BMD decrease is more accelerated in patients who have received prior therapy with tamoxifen but no significant differences were detected after treatment with respect to those who only received AI. Furthermore, previously a large variability in BMD loss was shown in response to AI treatment<sup>11</sup>. In this study a statistically significant association was detected between decreased BMD at the end of AI treatment and some polymorphisms of the CYP11A1 gene.

Regarding patients who had received prior therapy with tamoxifen, more marked differences in

Table 1. Baseline characteristics of patients according to pretreatment with TMX

Characteristic		Group NO-TMX n=231	Group TMX n=157
Age (years), mean±SD		63.4±7.4	58.2±9.0***
BMI, mean±SD		30.2±5.2	28.7±5.5**
Age onset of menopause (years), mean±SD		49.8±4.6	48.8±4.3
Age at menarche, median (RI)		12 (3)	13 (3)
Feeding time (months), median (RI)		3 (10.5)	4 (12.0)
Number of children, median (RI)		2 (1)	2 (2)
Prior chemotherapy, n (%)		234 (60.3%)	234 (60.3%)
Aromatase inhibitor, n (%)	Letrozol	227 (98.3%)	35 (22.2%)#
	Exemestane	-	122 (77.7%)#
	Anastrozole	4 (1.7%)	-

TMX: tamoxifen; SD: standard deviation; IR: interquartile range; BMI: body mass index.

In t-test compared to the group without tamoxifen: \*\*p<0.01; \*\*\*p<0.001.

#: indicates significant difference in the proportion of patients with and without prior tamoxifen.

decreased BMD appear at 3 years treatment, in the TMX group lost 70% more in LS and 63% in FN. Tamoxifen acts as an antagonist competitive estrogen receptor in breast tissue, but, in turn, has partial agonist actions in other tissues, such as bone. There is evidence of its beneficial effects in reducing resorption and stimulation of bone formation in postmenopausal women with breast cancer<sup>15</sup>. However, this analysis concurs with some studies indicating that prior tamoxifen therapy considerably increases the effects of AI in bone remodeling, resulting in a further decrease in BMD<sup>16</sup>. One possible explanation for this phenomenon is the "rebound" effect, that is, the positive influence of tamoxifen not only ceases to finish its therapy<sup>16</sup>, but also causes a marked reduction in BMD when AI changes. Thus, they increase their resorptive osteoclast action after inhibited state. Tamoxifen is the preferred peri-menopause treatment for women<sup>5</sup>. This would explain the difference observed both in age and initial BMD FN patients with and without previous TMX.

Despite the above, after 5 years of AI therapy, the NO-TMX group was equal to the final BMD loss after 3 years of TMX group [-3.66% vs -5.28% in LS; (P=0.1) and -3.43% vs -3.99% in FN; (P=0.7)], so that no statistically significant differences were detected between groups in BMD values after treatment. It is noteworthy that the rates of BMD loss in LS were at all times higher than FN. In this regard, it is known that trabecular bone is weaker than the cortical in response to AI therapy<sup>17</sup>.

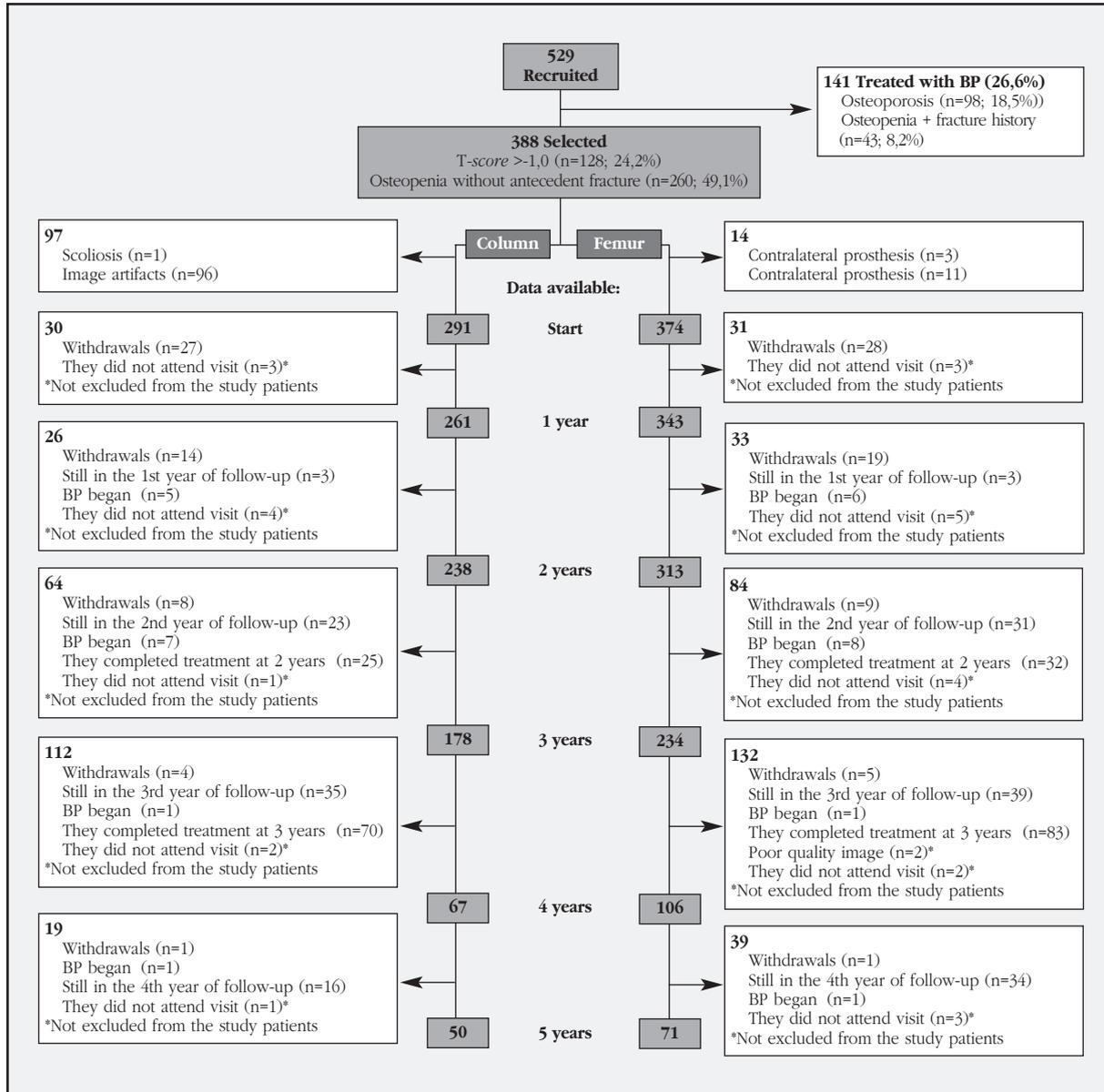
Overall, the patients in the cohort B-ABLE lose less BMD compared to previously reported by FFS. For example, the ATAC<sup>18</sup> trial reported losses of 6.08% and 7.24% LS total hip patients treated with anastrozole for 5 years. Patients without bis-

phosphonates the ABCSG-12<sup>8</sup> trial suffered losses of 7.8% and 4.1% in LS and FN, respectively, at the end of treatment, even registering decreases of 13.6% in LS at 2 years and 7.3% in FN at 3 years. The MA-17<sup>7</sup> study, meanwhile, analyzed patients who received tamoxifen before describing loss at 2 years of 5.35% in LS and 3.6% in total hip.

Differences in some features, such as initial BMD values, may contribute to this result. In this regard, most RCTs mentioned showed higher BMD values than those observed in our cohort, leading to bias by regression to the mean. Furthermore, we have found that the prevalence of vitamin D deficiency among Cohort B-ABLE patients is 88.1%<sup>12</sup> at the time of initiating therapy with AI, regardless of the season, which would explain in part the low BMD values. Cohort B-ABLE is subject to a strict evaluation not only of BMD but also the levels of vitamin D and calcium. Vitamin D status has been linked to BMD<sup>19</sup>, and most trials have shown that vitamin D supplements are protective against fractures<sup>20</sup> and falls<sup>21</sup>. The patients in our study received supplemental vitamin D in much higher quantities than recommended by the IOM (Institute of Medicine), so that after 3 months of supplements improving levels of 25 (OH) D were achieved, preventing further bone loss<sup>22</sup>.

In the present study, an association between BMD loss after AI treatment and polymorphisms in the CYP11A1 gene was detected. The CYP11A1 gene encoding the side chain cleavage enzyme of cholesterol (Alternative: P450scc) that catalyzes the first step and limits steroidogenesis, converting cholesterol to pregnenolone. In addition to cholesterol, may also P450scc hydroxylating vitamin D<sub>2</sub>, D<sub>3</sub> and precursors<sup>23-25</sup>, suggesting a broad

Figure 1. Diagram showing the number of patients with BMD data available at the lumbar spine and femoral neck at each time follow up. Abbreviations: BP (treatment with oral bisphosphonates)



spectrum of functions in metabolism cell. This enzyme is a mitochondrial membrane bound protein expressed mainly in adrenal cortex, ovary, testes, and placenta<sup>26</sup>. In addition, its expression has also been shown at the RNA level and protein in bone tissue and in osteoblasts<sup>11</sup>, suggesting a role for this enzyme in bone metabolism.

In this study, polymorphisms in the CYP11A1 gene: rs4077581 (in the promoter region), rs11632698 (in intron 2) and rs900798 (in the 3'UTR) were associated with BMD loss at the femoral neck after AI treatment. A statistically significant association was not observed with spinal BMD loss. All DXA study images were carefully analyzed to exclude those devices and/or structural changes (such as osteophytes) that might lead to false elevations in BMD. This procedure has consequences for all spinal results, since degene-

rative changes in this region can significantly increase BMD. Consequently, the number of patients for this determination was reduced which could explain the lack of statistical significance obtained in LS. In this regard, in a study prior to our group, a similar trend was observed associating these polymorphisms with BMD loss at 2 years of treatment, nominally obtaining significant results for spinal BMD<sup>11</sup>.

CYP11A1 gene variants may alter the expression or activity, determining the levels of sex hormones in a tissue, and therefore, be responsible for different phenotypes. This hypothesis would be supported by the fact that other polymorphic variants in this gene have been previously associated with the susceptibility of endometrium<sup>28</sup> and breast cancer<sup>27</sup> as well as to polycystic ovary syndrome<sup>29</sup>.

Table 2. Absolute values of BMD during treatment with AI as TMX previous

Time of follow up	TMX previous	Site	N	BMD (g/cm <sup>2</sup> ) Mean±SD
Basal	Yes	Column	129	0.953±0.096
		Femur	151	0.760±0.081
	No	Column	162	0.969±0.116
		Femur	223	0.739±0.088*
Year 3	Yes	Column	75	<b>0.904±0.084</b>
		Femur	87	<b>0.730±0.080</b>
	No	Column	103	0.926±0.112
		Femur	147	0.728±0.089
Year 5	No	Column	50	<b>0.939±0.116</b>
	No	Femur	71	<b>0.725±0.090</b>

AI: aromatase inhibitors; BMD: bone mineral density; TMX: tamoxifen; SD: standard deviation.

In t-test compared with patients who have taken prior tamoxifen: \*p<0.05.

Bold values end of treatment of patients who have highlighted AI over 3 or 5 years.

Thus, CYP11A1 activity may play a central role in local synthesis of steroid hormones, being partly responsible for AIBL. Our study has several limitations. First, evaluation of adherence to AI and tamoxifen was found only by a direct question made by the doctor. Second, the exclusion of patients receiving bisphosphonate treatment provides a selection of women with healthier bone, possibly causing a bias in the results. Third, the loss of patients during follow-up causes a decrease beyond 3 years of treatment. However, the study design is closer to the conditions of routine clinical observation. In addition, the implementation of a specific protocol of management of bone health in these patients showed better results in routine oncology practice.

In conclusion, in the CYP11A1 gene polymorphisms are associated with BMD response to treatment with AI. In our opinion, the study of B-ABLE cohort to conclude that the specific control and bone health treatment with calcium and vitamin D in all patients are interventions required during AI therapy, as they have an influence on direct changes in BMD and probably also translate into decreased risk of fragility fracture.

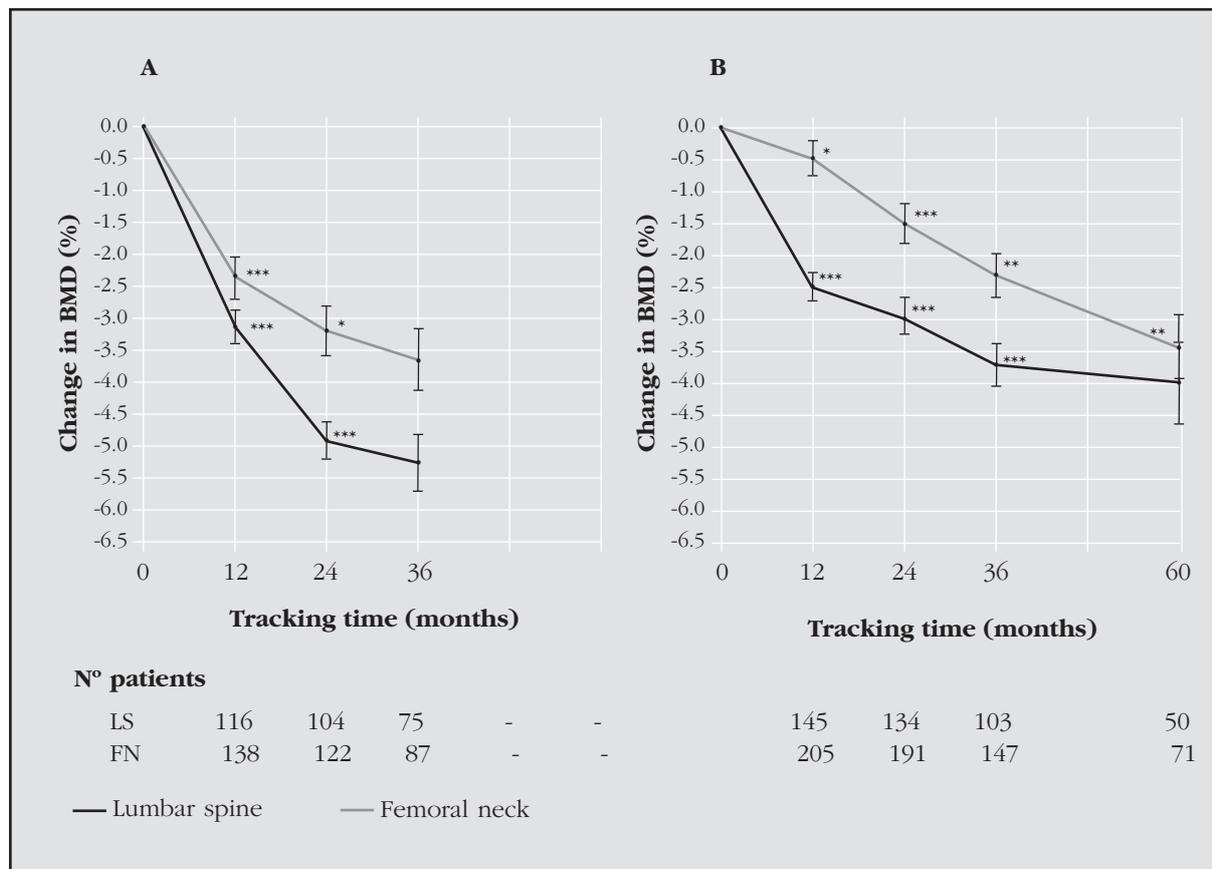
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Figure 2. Relative cumulative change in BMD up to completion IAI treatment in patients with (A) and without (B) prior tamoxifen. In t-test paired samples compared to the previous period: \*p<0.05; \*\*p<0.01; \*\*\*p<0.001



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Table 3. Association between polymorphisms and CYP11A1 gene variation of BMD after AI treatment

Locus	ID	Site	Phenotypic groups	N	Beta coefficient <sup>§</sup> [95% IC]	p nominal value*	Value q FDR	
CYP11A1	rs4077581	Column	T/T-T/C	116	0.88 [-0.86 a 2.62]	0.324R	0.603	
			C/C	24	-	-		
	rs900798		G/G-T/G	113	0.71 [-0.94 a 2.36]	0.402R	0.603	
			T/T	28	-	-		
	rs11632698		G/G-G/A	101	0.19 [-1.30 a 1.69]	0.796R	0.796	
			A/A	37	-	-		
	rs4077581	Femur	T/T-T/C	151	2.25 [0.51 a 3.99]	0.012R	<b>0.021</b>	
			C/C	31	-	-		
			rs900798	G/G-T/G	148	2.10 [0.43 a 3.76]	0.014R	<b>0.021</b>
				T/T	35	-	-	
			rs11632698	G/G-G/A	135	1.69 [0.19 a 3.20]	0.028R	<b>0.028</b>
				A/A	45	-	-	

AI: aromatase inhibitors; A: recessive model; § adjusted for: age, BMI, pretreatment with chemotherapy and/or tamoxifen, initial BMD and years of AI therapy.

In bold those significant p values are highlighted after correction for multiple comparisons (FDR). \*The results show the values of p lowest obtained for each of the hypotheses using linear regression.

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